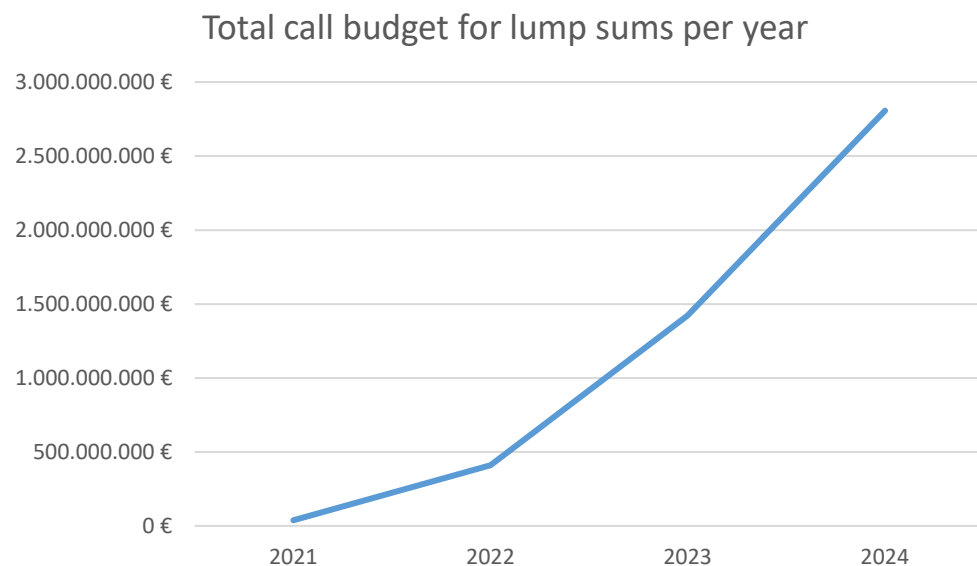


Lump sum funding in Horizon Europe

Lump sum calls



Total call budget for lump sums in the Horizon Europe work programmes 2021-2024

	Number of lump sum topics	Total indicative EUR budget
Pillar 1	27	931 million
Pillar 2	209	2 086 million
Pillar 3	26	937 million
Widening	5	331 million
Joint undertakings	46	405 million
Horizontal	1	4 million
Total	314	4 694 million

Number and indicative budget of topics using lump sum funding published in the Horizon Europe work programmes (2021-2024)

- Stepwise phasing under HE: low volume in 2021 and 2022; first significant wave in 2023 and 2024
- Most of lump sum topics are under Pillar 2 (thematic clusters)

Lump sums grants (end 2023)

- More than 5000 eligible proposals received
- More than 1300 grants signed
- More than 400 projects completed

- Lump sums are used mostly for small or mid-sized grants (figures for 2023 and 2024 budget, without EIC)

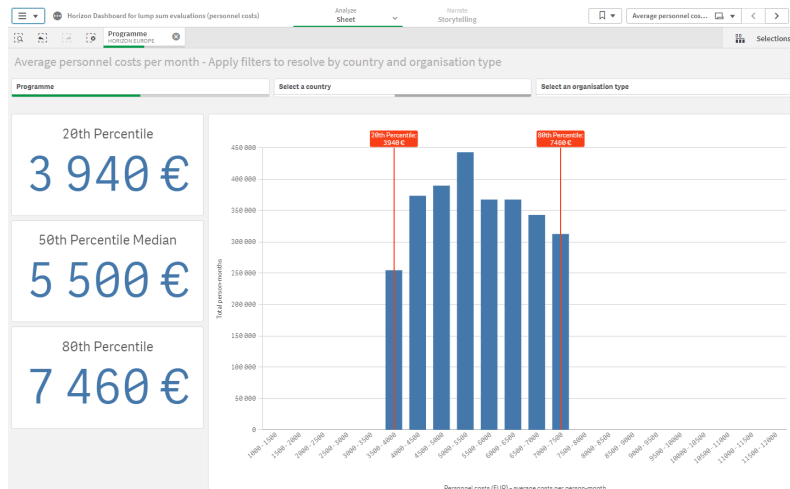
	LS grants signed by end of 2023	
	Number	Value (EU contribution)
H2020	525	EUR 454 million
HE	841	EUR 1,285 million

Grant size	Budget	Share (%)
Small or mid-sized	< EUR 5 million	87%
Standard collaborative	EUR 5-10 million	11%
Large	> EUR 10 million	2%

Lump sums – updates (I)

Issues raised by the NCPs

- **Horizon dashboard for lump sum evaluations:** clearer guidance on purpose and use



data last refreshed: Nov. 2023

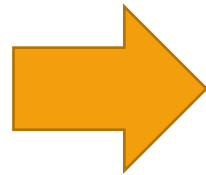
Recent developments by the EC

- **Updated dashboard landing page:** to highlight that the dashboard provides an **orientation** of what normal personnel costs per month are in Horizon Europe
- **Updated briefing for experts:** experts **must** use the personnel cost dashboard & **must** document the assessment of the budget
- **The series of lump sum videos was completed:** the third video on the use of personnel costs dashboard was published in February

Lump sums – updates (II)

Issues raised by the NCPs

- **Detailed budget table (Excel template):** clearer instructions on what costs to justify and what level of detail
- **Reporting periods:** guidance on the number and length of reporting periods
- **Budget cuts after evaluation:** actions to minimise this risk
- **Technical reviews:** clear instructions on what will be audited



Recent developments by the EC

- **Updated instructions for the budget table:** added more information and the link to the personnel costs dashboard
- **Updated corporate guidance:** additional information on completion of work packages, reporting periods, use of the personnel costs dashboard
- **Updated corporate guidance:** to ensure that applicants provide the right level of explanations, and that experts and EC officers evaluate this information comprehensively.
- **Preparations for ex-post technical reviews are ongoing;** no financial audits of lump sum grants

Lump sums – lessons learned exercise

Lump sums are still (relatively) new

- Learning curve not yet completed (inside and outside the Commission)
- Some concerns persist
- We monitor the system and improve it if needed
- First assessment (2021) was positive, but few lump sum grants had been completed
- Second assessment (2024) will draw on a much bigger pool of proposals and grants
- Follow-up of ECA's recommendations (ECA Annual Report 2022) is ongoing

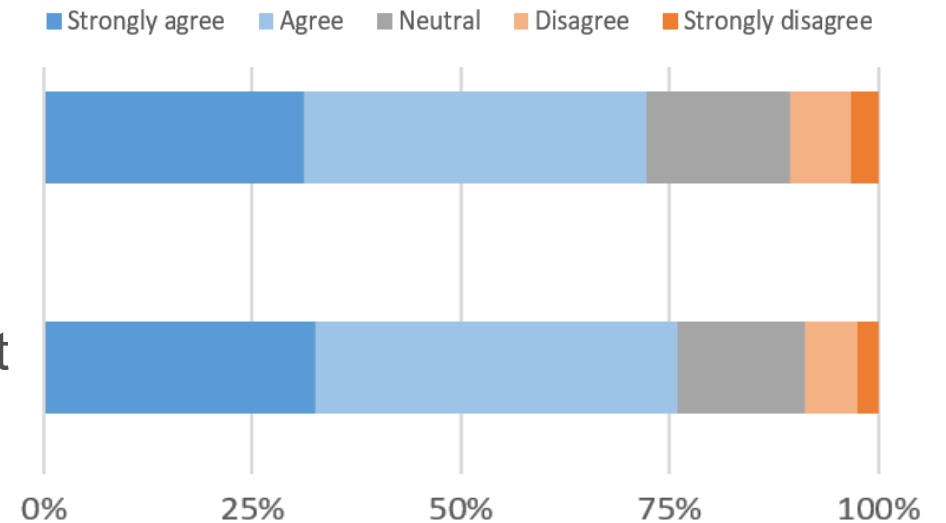
Positive feedback in recent surveys

Lump sum surveys in Q4 2023

- Nearly **14,000** lump sum participants and more than **1,000** experts
- Good response rates; overall feedback **clearly positive**
- 2nd lump sum assessment report expected in **Q2 2024**

Large majority of participants agree that lump sums...

- ... reduce admin
- ... shift focus to content



...same feedback from expert evaluators.



Thank you!

HorizonEU

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